

## **Information Services Board Briefing on the Department of Corrections Request to Re-instate Delegated IT Authority**

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### **Presenters**

Harold Clarke, Secretary, Department of Corrections

Tracy Guerin, Deputy Secretary, Department of Corrections

Carol Meraji, Chief Information Officer, Department of Corrections

### **Purpose of Appearance**

The Department of Corrections (DOC) will ask the Board to re-instate its IT delegated authority based on progress DOC has made toward Information Services Board (ISB) policy compliance, and compliance activities in process.

### **Previous ISB Appearance**

On April 6, 2005, DOC asked the ISB to reinstate its IT delegated authority based on achieving partial compliance with ISB policies for Business Resumption/Disaster Recovery and IT Security. The Board denied this request in favor of DOC reaching full compliance as a condition of having IT delegated authority restored. The ISB re-iterated the criteria DOC must meet to achieve compliance.

### **Current Project Status**

As of this reporting, DOC has provided the Department of Information Services (DIS) with a draft IT Disaster Recovery/Business Resumption Plan. DIS staff will review this plan and will assist in its completion. DIS staff has also provided technical assistance to DOC on data encryption and digital certificate authorization strategies as part of the DOC IT Security Program. In addition, DIS staff participates in weekly meetings to assist DOC's effort to reach policy compliance.

DOC engaged the State Auditor's Office in 2003 to perform an IT security audit. The findings of that audit are the basis for DOC's current work to achieve policy compliance. Although ISB policy requires an independent audit be conducted every three (3) years, DOC has scheduled another audit for early May 2005 to ensure their current efforts achieve full compliance.

DOC estimates completing all work required to regain compliance by early May 2005. DIS will provide assistance toward this goal and will review DOC's completed work to validate their compliance status.

### **Staff Recommendations to the Board**

None at this time. ISB staff will make recommendations to the Board following completion and review of DOC's work toward compliance.